

STOCKS AND SHARES ISA TRANSFER FORM

Transfer your existing Stocks and Shares ISA to Paragon. Use a new form for each Stocks and Shares ISA you're transferring, more forms can be found on our website. To transfer a cash ISA please visit our website for a cash ISA transfer form. Stocks and Shares ISA transfers should take 30 working days to complete.

Please complete this form in BLOCK CAPITALS and return to us at:

RTEX-LLUT-KKKE, Paragon, PO Box 850, Wallsend NE28 5BJ

(Please note that this is a Freepost address and there is no need to use a stamp)

Personal information	
Username (Postal account holders only) (leave blank if your Paragon cash ISA isn't open)	
Paragon Cash ISA account number/application reference (leave blank if your Paragon cash ISA isn't open)	
Title	
First name(s)	Surname
Date of birth	National Insurance Number
Address	
Telephone	

Information about the Stocks and Shares ISA you want to transfer
Name of existing ISA provider
Address of existing ISA provider
ISA account number/reference

Please note

As well as being subject to the terms and conditions of the cash ISA receiving the transfer proceeds, the transfer will be carried out subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA accounts do not allow you to transfer only part of an ISA, or may place conditions on how a transfer is carried out eg when deciding which investments are sold to facilitate the transfer
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead
- There may be outstanding fees to pay before the transfer goes ahead

If you are not sure what conditions apply, please check with your existing Stocks and Shares ISA provider before completing this form.

Q1. Do you want to transfer all or part of your ISA?

All Part

If you answered 'All' to Q1, this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety.

Q2. Have you subscribed to this stocks and shares ISA in the current tax year?

Yes No

If you answered 'All' to Q1, please go directly to the Transfer Authority section on page four. If you answered 'Part' to Q1, please answer Q3-5 below.

Partial transfers

Q3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year), tick here?

Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

Q4. If you only want to transfer your subscriptions from the current tax year, tick below

OR

If you only want to transfer all of your subscriptions from previous tax years, tick below

OR

If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you have answered Yes to Q3), tick below and provide sale instructions by answering Q5

Q5. Stocks, shares and/or funds that you wish to sell

Please check that your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form and note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your existing stocks and shares ISA provider to confirm what specific value or which tax years' subscriptions should be transferred and/or which investments should be sold to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer to your existing/new Paragon Cash ISA. Alternatively, you may provide this information by supplying a separate list, for example by annotating a valuation statement from your current ISA provider.

Description of investment	Investment indicator eg ISIN, Sedol, Cuip etc (if known)	Number or value of Stocks, Shares, Units or specify 'All' to sell the entire holding

Q5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer?

£

OR

If you want to transfer all of your uninvested cash balance, tick here

Transfer authority

I authorise my existing ISA provider as specified on the front of this form to sell investments and transfer the ISA in cash (account number on the front of this form) to Paragon.

I authorise my existing ISA provider to provide Paragon with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the transfer of the stocks and shares ISA.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for the full term and has yet to reach redemption, I instruct my current ISA provider to either (tick appropriate box).

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with the transfer

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may apply

Signed	Date
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Transfer acceptance


We, Paragon, are willing to accept the ISA transfer in line with the customer's instructions above, as long as the following condition is met

- The transfer proceeds are made up of cash deposits only

PARAGON (FREEPOST) RTEX-LLUT-KKKE, PO BOX 850, WALLSEND, NE28 5BJ

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We recommend you print and keep this information for your personal records or to refer to in the future.