

This form should be used to transfer a stocks and shares ISA to Paragon Bank. You will need to fill out a separate form for each stocks and shares ISA you are transferring. If you wish to transfer an existing cash ISA, you will need to use a cash ISA Transfer form. Please visit our website for the relevant form.

Please complete this form in BLOCK CAPITALS and return to our Freepost address – there’s no need to use a stamp.

RTEX-LLUT-KKKE, Paragon Bank, PO Box 850, Wallsend NE28 5BJ

Personal information

| | | | |
|---|---|---------------------------|--|
| Paragon Cash ISA account number/application reference | <input type="text"/> | National insurance number | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> |
| Title | Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/> | Address | <input type="text"/> |
| First name(s) | <input type="text"/> | | <input type="text"/> |
| Surname | <input type="text"/> | Postcode | <input type="text"/> |
| Date of birth (DD MM YYYY) | <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> | Contact telephone number | <input type="text"/> |

Information about the Stocks and Shares ISA you want to transfer

| | |
|----------------------------------|----------------------|
| Name of existing ISA provider | <input type="text"/> |
| Address of existing ISA provider | <input type="text"/> |
| | <input type="text"/> |
| | Postcode |
| ISA account number/reference | <input type="text"/> |

Please note

As well as being subject to the terms and conditions of the cash ISA receiving the transfer proceeds, the transfer will be carried out subject to the terms and conditions applying to your stocks and shares ISA. For example:

- Some stocks and shares ISA accounts do not allow you to transfer only part of an ISA, or may place conditions on how a transfer is carried out eg when deciding which investments are sold to facilitate the transfer
- Your existing ISA provider may need you to give them specific information before the transfer can go ahead
- There may be outstanding fees to pay before the transfer goes ahead

If you are not sure what conditions apply, please check with your existing Stocks and Shares ISA provider before completing this form.

Q1. Do you want to transfer all or part of your ISA? All Part
If you answered 'All' to question one, this will result in all investments currently held being sold. The sale proceeds, together with any uninvested cash currently held in the ISA, will be transferred to your new provider in its entirety.

Q2. Have you subscribed to this stocks and shares ISA in the current tax year? Yes No
If you answered 'All' to Q1, please go directly to the Transfer Authority section on page two. If you answered 'Part' to Q1, please answer Q3-5 below and on page two.

Partial transfers

Q3. If you answered yes to Q2 do you want to transfer your current tax year ISA subscription (this would involve selling any investments made with the subscription from the current tax year), tick here Yes No

Please note that the amount in your account representing current tax year subscriptions can only be transferred in whole and not in part.

Q4. If you only want to transfer your subscriptions from the current tax year, tick here
OR
 If you only want to transfer all of your subscriptions from previous tax years, tick here
OR
 If you only want to transfer some of your subscriptions from previous tax years (as well as investments relating to current year subscriptions if you have answered Yes to Q3), tick here
and provide sale instructions by answering Q5.

Q5. Stocks, shares and/or funds that you wish to sell

Please check that your selection is permitted by your existing stocks and shares ISA provider prior to submitting the form and note that you may be contacted by your existing ISA provider to agree what to sell.

Please use the box below to give instructions to your existing stocks and shares ISA provider to confirm what specific value or which tax years' subscriptions should be transferred and/or which investments should be sold to meet the transfer.

If needed, please list all investments that you hold with the above ISA provider that you wish to sell and transfer to your existing/new Paragon Bank Cash ISA. Alternatively, you may provide this information by supplying a separate list, for example by annotating a valuation statement from your current ISA provider.

| Description of investment | Investment indicator eg ISIN, Sedol, Cuij etc (if known) | Number or value of Stocks, Shares, Units or specify 'All' to sell the entire holding |
|---------------------------|--|--|
| | | |
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| | | |

Q5b. Uninvested cash to be transferred

Please say how much of your uninvested cash balance in your stocks and shares ISA you want to transfer? £

OR

If you want to transfer all of your uninvested cash balance, tick here

Transfer authority

I authorise my existing ISA provider as specified on the front of this form to sell investments and transfer the ISA in cash (account number on the front of this form) to Paragon Bank.

I authorise my existing ISA provider to provide Paragon Bank with any information, written or non-written, concerning the stocks and shares ISA and to accept any instruction from them relating to the transfer of the stocks and shares ISA.

Where I must give notice to close or transfer part of the existing stocks and shares ISA, or the existing stocks and shares ISA contains an investment which is designed and intended to be held for the full term and has yet to reach redemption, I instruct my current ISA provider to either (tick appropriate box).

1. Wait for the full notice period to end, or wait until redemption (whichever is relevant) before going ahead with the transfer

OR

2. Depending on the terms and conditions, carry out the transfer as soon as possible – I accept any consequential loss of income, capital or charges that may apply

Signed

Date (DD MM YYYY)

Transfer acceptance

We, Paragon Bank, are willing to accept the ISA transfer in line with the customer's instructions above, as long as the following condition is met

- The transfer proceeds are made up of cash deposits only